Thermodynamic uncertainty relation for open quantum systems

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Related article:

Thermodynamic Uncertainty Relation for Open Quantum Systems, arXiv:2003.08557v2

Thermodynamic uncertainty relation (TUR)

Relation between fluctuation and entropy production [Barato & Seifert, PRL, 2015]

$$\frac{\operatorname{Var}[\phi]}{\langle \phi \rangle^2} \ge \frac{2}{\sigma}$$

where σ is entropy production.

Recently, quantum TURs have been studied

[Erker et al., PRX, 2017], [Brandner et al., PRL, 2018], [Carollo et al., PRL, 2018], [Liu et al., PRE, 2019], [Guarnieri et al., PRR, 2019], [Saryal et al., PRE, 2019], etc

■ Still, quantum TURs are in a very early stage

■ Many studies obtained case-by-case bounds

■ I will present a quantum TUR valid for general open quantum dynamics

TUR in open quantum systems

TUR in open quantum systems

Environment basis: $\{|0\rangle, |1\rangle, ..., |M - 1\rangle\}$

■ We assume that

$$g(0)=0$$

- As long as this condition is met, g(m) can return any real number
- The initial state of *E* was assumed to be |0⟩. Therefore, when the state of the environment after the interaction is |0⟩, the environment remains unchanged before and after the interaction.

TUR in open quantum systems

Then we find the following bound for the coefficient of variation of g(m):

$$\frac{\operatorname{Var}[g(m)]}{\langle g(m)\rangle^2} \ge \frac{1}{\Xi}$$

$$\Xi = \mathrm{Tr}_{S} \left[\left(V_{0}^{\dagger} V_{0} \right)^{-1} \rho \right] - 1 \qquad V_{0} \equiv \langle 0 | U | 0 \rangle$$

 \blacksquare Ξ corresponds to the dynamical activity in classical Markov processes

■ This relation holds for

any open quantum systems as long as $V_0^{\dagger}V_0 > 0$ any observable g(m) with g(0) = 0any initial density operator ρ in *S*

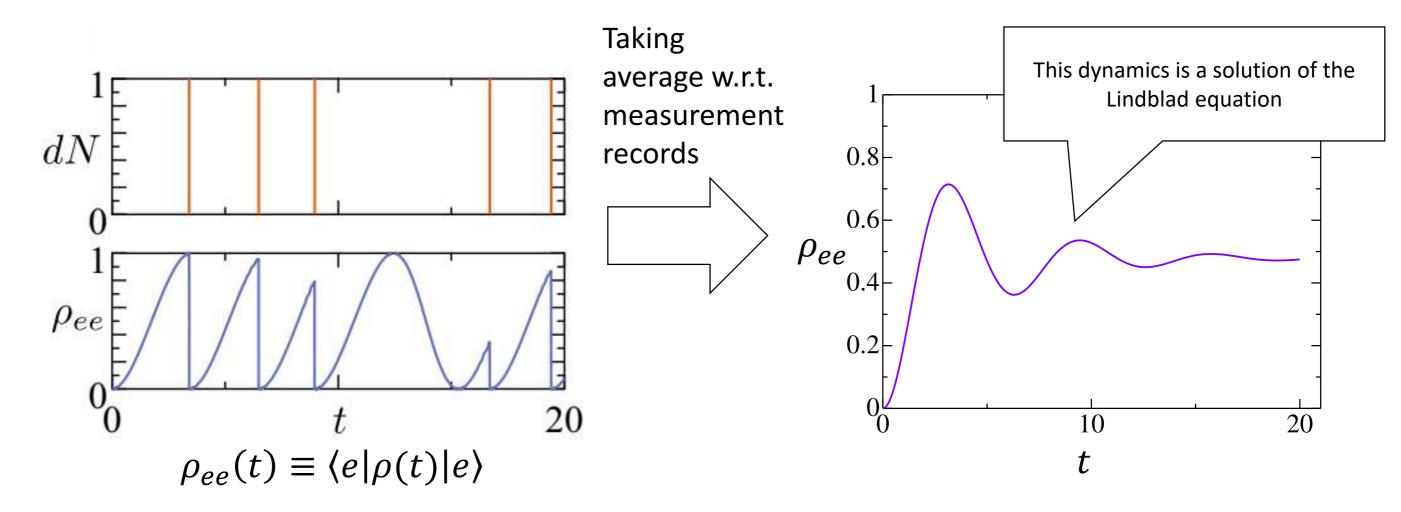
Application: continuous measurement

Consider a Lindblad equation defined by $\frac{d\rho}{dt} = -i[H,\rho] + \sum_{c} \left[L_c \rho L_c^{\dagger} - \frac{1}{2} \left\{ L_c^{\dagger} L_c \rho + \rho L_c^{\dagger} L_c \right\} \right]$

- where L_c is a jump operator.
- The Lindblad equation renders the dynamics when we do *not* measure the environment.
- On measuring the environment, the Lindblad equation is unraveled to yield a stochastic dynamics conditioned on a measurement record
- Stochastic trajectory is described by a stochastic Schrödinger equation

Quantum trajectory

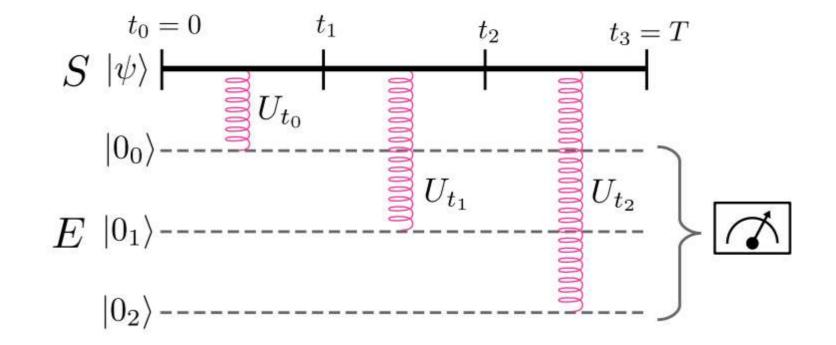
$$d\rho = -i[H,\rho]dt + \sum_{c} \left[\rho Tr[L_c \rho L_c^{\dagger}] - \frac{1}{2} \{L_c^{\dagger} L_c,\rho\} \right] + \sum_{c} \left[\frac{L_c \rho L_c^{\dagger}}{Tr[L_c \rho L_c^{\dagger}]} - \rho \right] dN$$



Continuous measurement

• The interval [0, T] is divided into N equipartitioned intervals

- The environmental orthonormal basis is $|m_{N-1}, ..., m_0\rangle$
- $|m_k\rangle$ interacts with S within the time interval $[t_k, t_{k+1}]$ via a unitary operator U_{t_k}



TUR for continuous measurement

• One-step time evolution is

$$\rho(t + \Delta t) = \sum_{c} X_{c} \rho(t) X_{c}^{\dagger} - Kraus representation$$

where

$$X_{0} \equiv e^{-i\Delta tH} \sqrt{\mathbb{I}_{S} - \Delta t} \sum_{c} L_{c}^{\dagger} L_{c} \quad \text{(no detection)}$$
$$X_{c} \equiv e^{-i\Delta tH} \sqrt{\Delta t} L_{c} \quad \text{(detection of } c^{\text{th}} \text{ event)}$$

■ Because $V_0 \equiv \langle 0|U|0 \rangle$ corresponds to "no jump events" during [0, T], it is given by $V_0 = \lim_{N \to \infty} X_0^N$

TUR for continuous measurement

 \blacksquare V₀ can be computed via Trotter product formula as follows

$$V_0 = e^{-T\left(iH + \frac{1}{2}\sum_c L_c^{\dagger}L_c\right)}$$

Therefore, a quantum TUR becomes

$$\frac{\operatorname{Var}[g(m)]}{\langle g(m)\rangle^2} \ge \frac{1}{\Xi}$$

$$\Xi = \mathrm{Tr}_{S} \left[e^{T \left(iH + \frac{1}{2} \sum_{c} L_{c}^{\dagger} L_{c} \right)} e^{T \left(-iH + \frac{1}{2} \sum_{c} L_{c}^{\dagger} L_{c} \right)} \right] - 1$$

- This relation holds for any Lindblad dynamics (time-independent H and L_c) and for any initial density operator
- E reduces to the dynamical activity in classical Markov processes in a particular limit

Effect of quantumness

When we emulate classical Markov processes with the Lindblad equation, $\left[H, \sum_{c} L_{c}^{\dagger} L_{c}\right] = 0$ holds. In this case, Ξ reduces to $\Xi_{CL} = \operatorname{Tr}_{S}\left[e^{T\sum_{c} L_{c}^{\dagger} L_{c}}\right]$

• When $T \ll 1$, we have

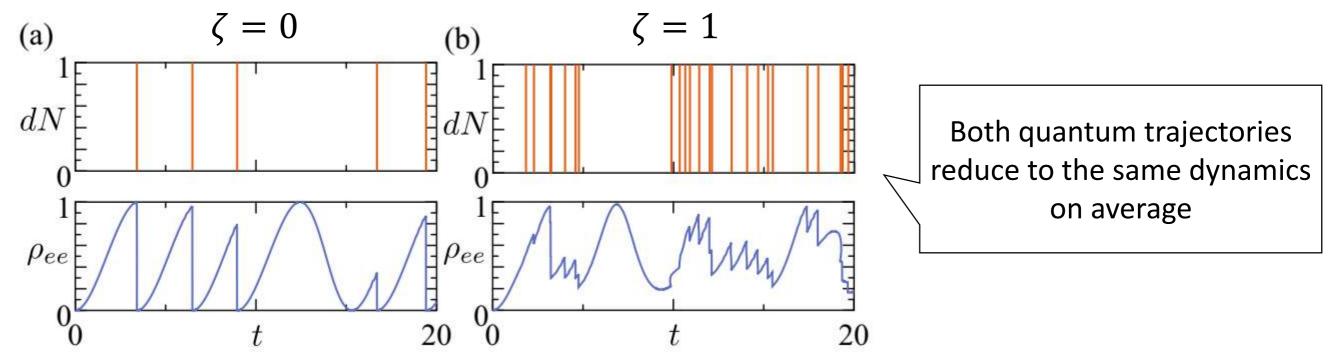
$$\Xi = \Xi_{\rm CL} + \frac{1}{2}T^2\chi + O(T^3)$$

where $\chi \equiv i \sum_c {\rm Tr}_S \left[[H, L_c^{\dagger}L_c] \rho \right].$

- When $\chi > 0$, the system gains a precision enhancement due to the quantumness.
- For a particular model, χ corresponds to non-diagonal elements in density operators

Effect of measurement

- The Lindblad equation is invariant under the following transformation, $H \rightarrow H - \frac{i}{2}(\zeta^*L - \zeta L^{\dagger}), L \rightarrow L + \zeta$ where ζ is an arbitrary complex parameter.
- Unravelling with different ζ corresponds to different continuous measurement



Effect of measurement

• Under this transformation, Ξ is

$$\Xi = e^{|\zeta|^2 T} \operatorname{Tr}_{S} \left[e^{T \left(iH + \frac{1}{2}L^{\dagger}L + \zeta^*L \right)} e^{T \left(-iH + \frac{1}{2}L^{\dagger}L + \zeta L^{\dagger} \right)} \right] - 1$$

• Therefore, for $|\zeta| \to \infty$, $\Xi \sim e^{|\zeta|^2 T}$

The lower bound of the quantum TUR can be arbitrary small by employing a continuous measurement with large $|\zeta|$

Measurements can be a thermodynamics resource. It is possible to extract work from single reservoir without feedback [Yi *et al.*, PRE, 2017].

Classical limit and dynamical activity

For classical Markov processes with transition rate $\gamma_{ji}(t)$ (from *i* to *j*) with the initial probability distribution P_i , Ξ becomes

$$\frac{\operatorname{Var}[g(m)]}{\langle g(m)\rangle^2} \ge \frac{1}{\Xi}, \qquad \Xi = \sum_i P_i \exp\left[\sum_{j\neq i} \int_0^T dt \, \gamma_{ji}(t)\right] - 1$$

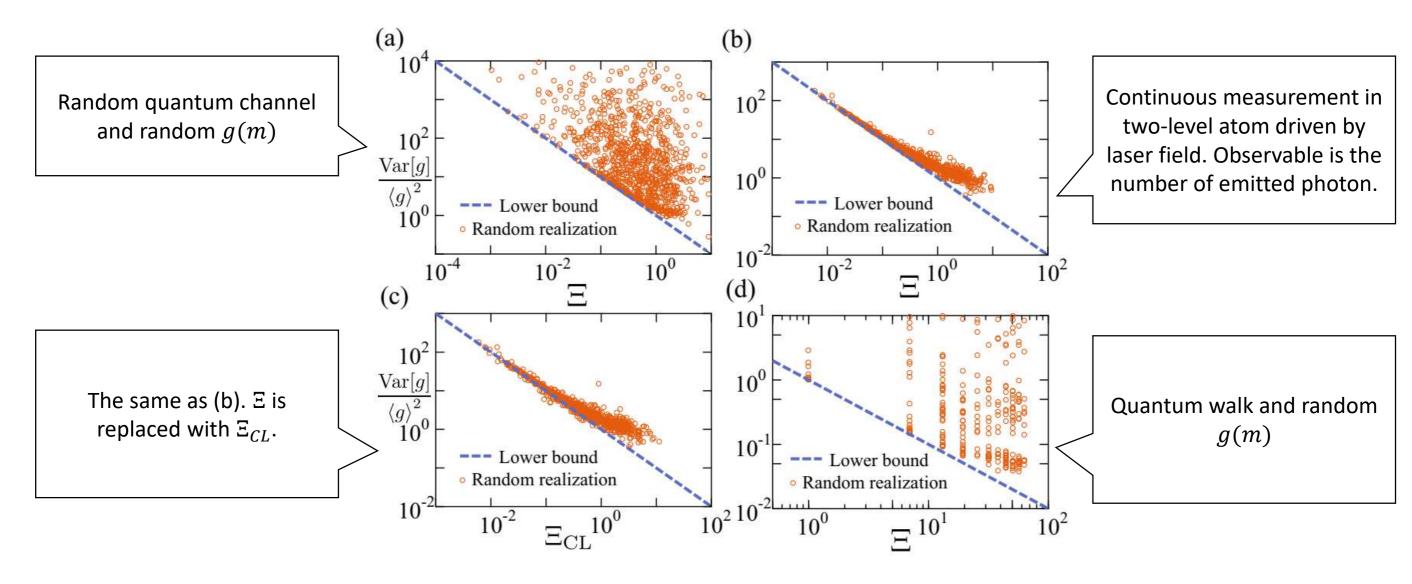
This relation holds for any time-dependent Markov chains For $T \ll 1$, we have

$$\Xi \simeq T \sum_{i} \sum_{j \neq i} P_i \gamma_{ji}(t)$$

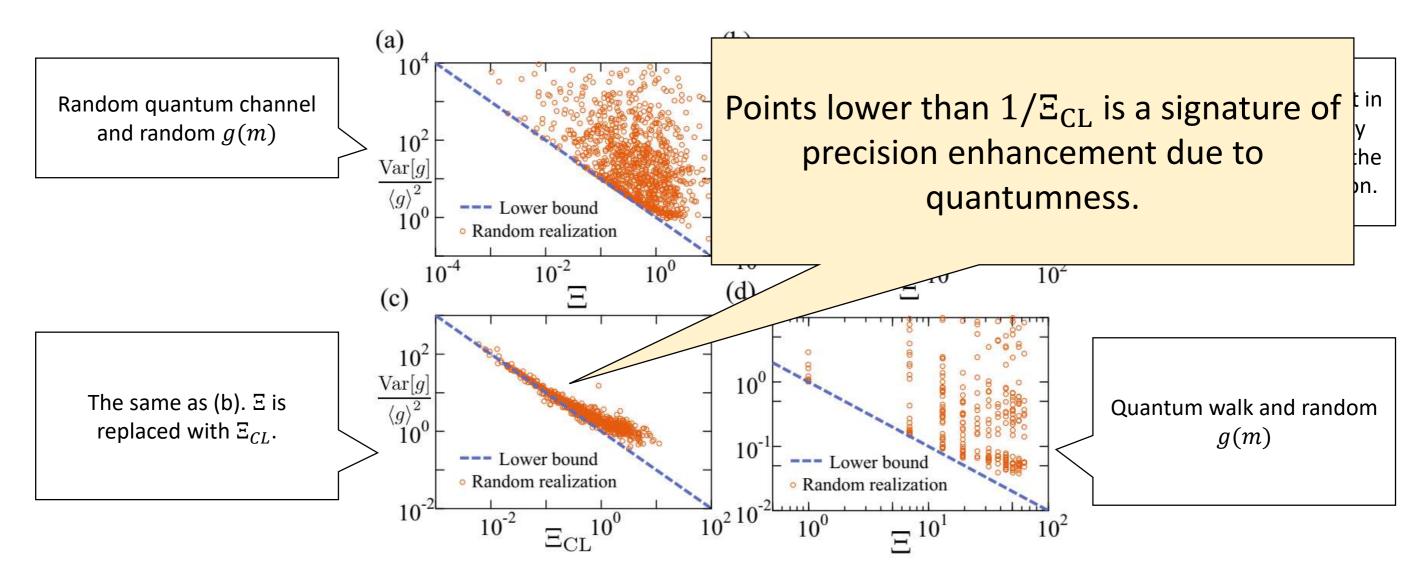
which is the dynamical activity in classical Markov processes

Dynamical activity plays important roles in classical Markov processes [Shiraishi *et al.*, PRL, 2018], [Garrahan, PRE, 2017]

Numerical verifications

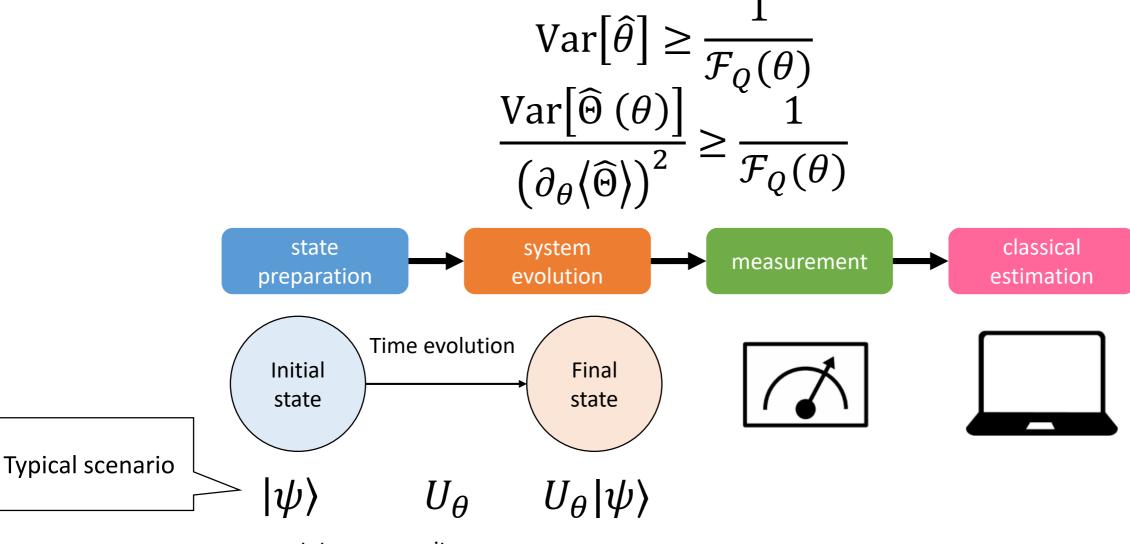


Numerical verifications



Quantum Cramér-Rao inequality

Bound on statistical estimator in quantum systems



pure state unitary

Quantum Cramér-Rao bound

Quantum Cramér-Rao bound has been applied to obtain quantum uncertainty relations

■ Robertson uncertainty relation, Quantum speed limit

Classical Cramér-Rao inequality has been applied to obtain classical thermodynamic uncertainty relations

■ [Hasegawa et al., PRE, 2019], [Dechant, JPA, 2019], [Ito et al. PRX, in press]

It is much harder to find quantum Fisher information than in classical cases

Quantum Fisher information

Quantum Fisher information is

$$\mathcal{F}_Q(\theta) = \max_{\mathcal{M}} \mathcal{F}_C(\theta; \mathcal{M})$$

where \mathcal{M} is POVM and \mathcal{F}_{C} is a classical Fisher information

- Therefore, quantum Cramér-Rao inequality is satisfied for any quantum measurements (POVMs)
- Quantum Fisher information is calculated by $\mathcal{F}_Q(\theta) = \text{Tr}[\mathcal{L}^2 \rho]$

where \mathcal{L} is known as symmetric logarithm derivative.

In general, \mathcal{L} is difficult to obtain

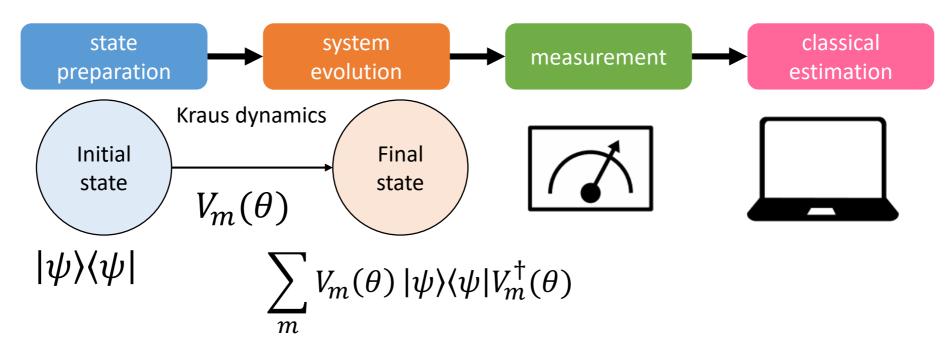
Quantum Fisher information

[Escher et al, Nat. Phys., 2011] showed that quantum Fisher information is upper bounded by

$$\mathcal{F}_{Q}(\theta) \leq 4[\langle \psi | H_{1}(\theta) | \psi \rangle - \langle \psi | H_{2}(\theta) | \psi \rangle^{2}]$$

where

$$H_1(\theta) = \sum_{m=0}^{M-1} \frac{\partial V_m^{\dagger}(\theta)}{\partial \theta} \frac{\partial V_m(\theta)}{\partial \theta}, H_2(\theta) = i \sum_{m=0}^{M-1} \frac{\partial V_m^{\dagger}(\theta)}{\partial \theta} V_m(\theta)$$



Derivation

To derive the main result, we consider the following parametrization $V_m(\theta) = e^{\theta/2}V_m \quad (1 \le m \le M - 1)$

• We cannot freely parametrize $V_0(\theta)$ due to the completeness relation $\sum_{m=0}^{M-1} V_m^{\dagger}(\theta) V_m(\theta) = \mathbb{I}$

Any $V_0(\theta)$ satisfying the completeness relation can be represented by M-1

$$V_0(\theta) = Y \sqrt{\mathbb{I} - \sum_{m=1}^{M-1} V_m^{\dagger}(\theta) V_m(\theta)} = Y \sqrt{\mathbb{I} - e^{\theta} \sum_{m=1}^{M-1} V_m^{\dagger} V_m}$$

where *Y* is a unitary operator.

Derivation

■ Using these parametrization, QFI is upper bounded by

$$\mathcal{F}_{Q}(\theta=0) \leq \left\langle \psi \left| \left(V_{0}^{\dagger} V_{0} \right)^{-1} \left| \psi \right\rangle - 1 \right.$$

■ We next evaluate $\partial_{\theta} \langle g(m) \rangle_{\theta}$ in quantum Cramér-Rao inequality

Since we have assumed that g(0) = 0, a complicated scaling dependence of $V_0(\theta)$ on θ can be ignored

$$g(m)\rangle_{\theta} = \sum_{m=0}^{M-1} \langle \psi | V_m^{\dagger}(\theta) V_m(\theta) | \psi \rangle g(m)$$
$$= \sum_{m=1}^{M-1} \langle \psi | V_m^{\dagger}(\theta) V_m(\theta) | \psi \rangle g(m)$$
$$= e^{\theta} \langle g(m) \rangle_{\theta=0}$$

Derivation

Substituting into these equality to the quantum Cramér-Rao inequality, we obtain the main result $\frac{\operatorname{Var}[g(m)]}{\langle g(m) \rangle^2} \ge \frac{1}{\langle \psi | (V_0^{\dagger} V_0)^{-1} | \psi \rangle - 1}$

The main result also holds for any initial mixed states ρ through the purification

$$\frac{Var[g(m)]}{\langle g(m) \rangle^2} \ge \frac{1}{\operatorname{Tr}\left[\left(V_0^{\dagger}V_0\right)^{-1}\rho\right] - 1}$$

Conclusion

■ TUR in open quantum systems is obtained

- Quantum dynamics = Joint unitary evolution on principal and environment systems
- Observable = Projective measurement on the environment
- Thermodynamic cost = Quantum analogue of dynamical activity
- Effects of quantumness on precision
 Measurements improve the precision
 Non-commutativeness improves the precision



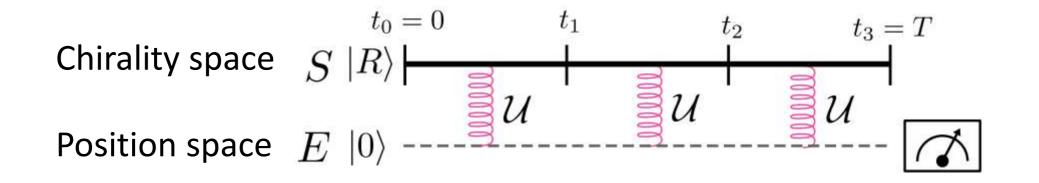
The quantum walk is defined on the chirality space spanned by $\{|R\rangle, |L\rangle\}$ and the position space spanned by $\{|n\rangle\}$

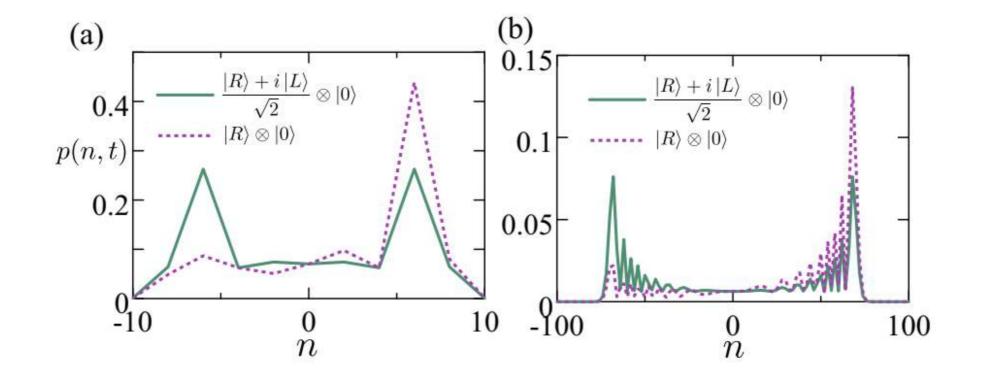
• One step evolution is operated via a unitary operator $\mathcal{U} = \mathcal{S}(\mathcal{C} \otimes \mathbb{I}_F)$

where

$$\mathcal{C} \equiv \frac{|R\rangle\langle R| + |R\rangle\langle L| + |L\rangle\langle R| - |L\rangle\langle L|}{\sqrt{2}}$$
gate
$$\mathcal{S} = \sum_{n} [|R\rangle\langle R| \otimes |n+1\rangle\langle n| + |L\rangle\langle L| \otimes |n-1\rangle\langle n|]$$

Hadamard





 \blacksquare The amplitudes after *t* steps were known

Therefore, dynamical activity Ξ after t steps can be calculated analytically $\Xi = \begin{cases} 2^{2u+1} \binom{u}{\frac{u}{2}}^{-2} - 1 & u \in \text{even} \\ 2^{2u-1} \binom{u-1}{\frac{u-1}{2}}^{-2} - 1 & u \in \text{odd} \end{cases}$ where $u \equiv \frac{\iota}{2}$ By using Stirling approximation, $\Xi \sim \pi u$

 \blacksquare Ξ linearly depends on the number of steps.

■ This is in contrast to the classical case where Ξ exponentially depends on time