

Entropy and MaxEnt in NonEq Thermodynamics

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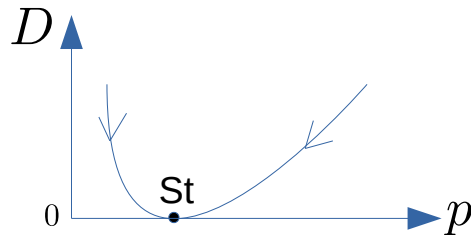
Markovian master equation (**non-autonomous**): $d_t p_i = w_{ij} p_j$

Mathematical “Entropy Production”: $\dot{\sigma} = w_{ij} p_j \ln \frac{w_{ij} p_j}{w_{ji} p_i} \geq 0$

Instantaneous steady state: $0 = w_{ij} p_i^{st}$ “Equilibrium”: $w_{ij} p_j^{eq} = w_{ji} p_i^{eq} \quad \forall i, j \longrightarrow \dot{\sigma} = 0$

$$\dot{\sigma} = \dot{\sigma}_{na} + \dot{\sigma}_a \geq 0 \quad \left\{ \begin{array}{l} \dot{\sigma}_{na} = p_i \partial_t (-\ln p_i^{st}) - d_t D(p_i | p_i^{st}) \geq 0 \\ \dot{\sigma}_a = w_{ij} p_j \ln \frac{w_{ij} p_j^{st}}{w_{ji} p_i^{st}} \geq 0 \end{array} \right. \begin{array}{l} \swarrow \\ \searrow \\ \text{relative entropy} \\ \geq 0 \end{array}$$

Autonomous dynamics:
(min principle)



ME, Harbola, Mukamel, Phys. Rev. E **76**, 031132 (2007)
ME, Van den Broeck, Phys. Rev. E **82**, 011143 (2010)

Physics

Thermodynamics: system exchanging conserved quantities with reservoirs with conjugated intensive variables

Local detailed balance: $\ln \frac{w_{ij}}{w_{ji}}$ is an entropy change in the reservoirs (and possibly internal)

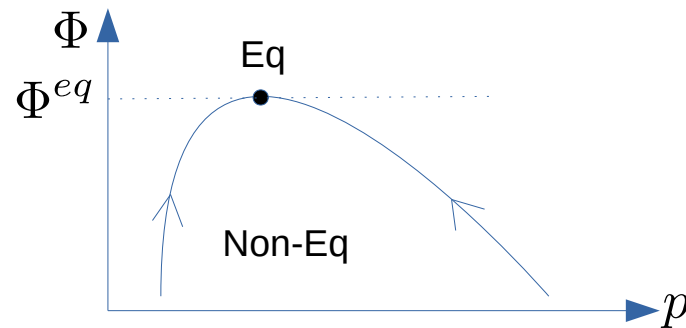
Physical Entropy Production: $\dot{\sigma} = \underbrace{\partial_t(\Phi^{eq} - \Phi)}_{\Phi^{eq} - \Phi \geq 0} - \underbrace{d_t D(p|p^{eq})}_{\text{fluxes-forces}} + A_\alpha J_\alpha \geq 0$

Rao, ME, New J. Phys. **20**, 023007 (2018)

Rao, ME, Entropy **20**, 635 (2018)

Massieu potential e.g. $\Phi = S - \frac{1}{T_0} E + \frac{\mu_0}{T_0} N \dots$

Autonomous detailed balance dynamics $\longrightarrow \Phi$ is maximized
 $A_\alpha = 0 \forall \alpha$ “Jaynes’s maxent is realized dynamically”



In general no thermodynamic max or min principle!

Close to equilibrium (minimum EP principle): $d_t \dot{\sigma} = d_t \dot{\sigma}_{na} \leq 0$

Jiu-Li, Van den Broeck, Nicolis, Z. Phys. B **56**, 165 (1984)

Polettini, ME, Phys. Rev. E **88**, 012112 (2013) 3